- 1 A single blood eosinophil count measurement is as good as two for prediction of ICS treatment
- 2 response in the IMPACT trial
- 3 Mona Bafadhel,¹ Neil Barnes,^{2,3} Stephen C. Bourke,⁴ Chris Compton,² Gerard J. Criner,⁵ Mark T.
- 4 Dransfield, David M.G. Halpin, MeiLan K. Han, Benjamin Hartley, C. Elaine Jones, Deter
- 5 Lange, 11,12 Sally Lettis, 13 David A. Lipson, 14,15 David A. Lomas, 16 Neil Martin, 2,17 Fernando J. Martinez, 18
- 6 Robert Wise,¹⁹ Dave Singh²⁰
- ¹Nuffield Department of Medicine, University of Oxford, Oxford, UK; ²GSK, Brentford, Middlesex, UK;
- ³Barts and the London School of Medicine and Dentistry, London, UK; ⁴North Tyneside General
- 9 Hospital, North Shields, and Newcastle University, Newcastle, UK; ⁵Lewis Katz School of Medicine at
- 10 Temple University, Philadelphia, PA, USA; ⁶Division of Pulmonary, Allergy, and Critical Care Medicine,
- Lung Health Center, University of Alabama at Birmingham, Birmingham, AL, USA; ⁷University of
- 12 Exeter Medical School, College of Medicine and Health, University of Exeter, Exeter, Devon, UK;
- 13 ⁸University of Michigan, Pulmonary & Critical Care, Ann Arbor, MI, USA; ⁹Veramed Ltd, Twickenham,
- 14 UK; ¹⁰GSK, Research Triangle Park, NC, USA; ¹¹Department of Public Health, University of
- 15 Copenhagen, Copenhagen, Denmark; ¹²Herlev-Gentofte Hospital, Herlev, Denmark; ¹³GSK, Stockley
- Park West, Uxbridge, Middlesex, UK; ¹⁴GSK, Collegeville, PA, USA; ¹⁵Pulmonary, Allergy, and Critical
- 17 Care Division, Perelman School of Medicine, University of Pennsylvania, Philadelphia, PA, USA; 16 UCL
- 18 Respiratory, University College London, London, UK; ¹⁷University of Leicester, Leicester, UK; ¹⁸New
- 19 York-Presbyterian Hospital/Weill Cornell Medical Center, New York, NY, USA; ¹⁹Division of Pulmonary
- 20 and Critical Care Medicine, Johns Hopkins Medicine, Baltimore, MD, USA; ²⁰University of
- 21 Manchester, Manchester, UK
- 22
- 23 <u>Current manuscript counts</u>
- 24 **Body text:** 1218/1500 permitted
- 25 References: 10 of 15 permitted
- 26 **Figure/Table:** 1 of 1 permitted
- 27 To the Editor:
- 28 Blood eosinophil count is a readily available biomarker in chronic obstructive pulmonary disease
- 29 (COPD) that can assist identification of patients most likely to benefit from inhaled corticosteroids
- 30 (ICS).¹ Recent evidence has demonstrated a link between blood eosinophil count as a continuous
- 31 variable and magnitude of response to ICS in terms of exacerbation rate reduction.^{2, 3} The current
- 32 Global Initiative for Chronic Obstructive Lung Disease (GOLD) report recommends that blood
- 33 eosinophil count can be used to predict the likelihood of beneficial response to ICS, in combination
- 34 with clinical assessment of exacerbation risk. However, as blood eosinophil counts can show
- variability, particularly at higher levels, 4-6 it is of clinical interest to determine how many
- 36 measurements are sufficient to predict an ICS response in patients with COPD. Data from the
- 37 InforMing the PAthway of COPD Treatment (IMPACT) trial showed an association between blood
- eosinophil count and ICS response on reduction of moderate/severe COPD exacerbations.³ This post

- 39 hoc analysis of IMPACT compared whether one or two measurements of blood eosinophil count can
- 40 better predict ICS responses in patients with COPD.
- Details of the design of IMPACT have been published previously (GSK study number CTT116855;
- 42 ClinicalTrials.gov identifier NCT02164513).^{7,8} Briefly, IMPACT was a 52-week, randomised, double-
- 43 blind, parallel-group, multicentre study in patients ≥40 years of age with symptomatic COPD (COPD
- 44 Assessment Test score of ≥10), and either forced expiratory volume in 1 second (FEV₁) <50% of
- 45 predicted and a history of ≥1 moderate or severe exacerbation in the previous year, or FEV₁ of 50 to
- 46 <80% predicted and ≥2 moderate or ≥1 severe exacerbation in the previous year. Patients remained
- 47 on their own medication during a 2-week run-in period and were then randomised (2:2:1) to receive
- 48 once-daily single-inhaler triple therapy with fluticasone furoate/umeclidinium/vilanterol
- 49 (FF/UMEC/VI) $100/62.5/25 \mu g$ (ICS/long-acting muscarinic antagonist [LAMA]/long-acting β_2 -agonist
- 50 [LABA]), dual ICS/LABA therapy with FF/VI 100/25 μg, or dual LAMA/LABA therapy with UMEC/VI
- 51 62.5/25 μg. Blood eosinophil counts were measured at screening (2 weeks prior to Day 1) and at
- 52 randomisation (Day 1).^{3, 7, 8} Patients who exacerbated during the run-in prior to randomisation and
- required steroids were excluded from the study and were not included in this analysis.
- This post hoc analysis modelled the treatment effect of FF/UMEC/VI versus UMEC/VI, and FF/VI
- 55 versus UMEC/VI on moderate/severe exacerbation rates by continuous blood eosinophil count using
- measurements taken at screening, randomisation, and the mean, minimum and maximum of the
- 57 screening and randomisation blood eosinophil count values. For each of the five blood eosinophil
- 58 count metrics, 36 different negative binomial models were fitted in order to identify the best-fitting
- 59 model. Each model included the following covariates: treatment group, sex, exacerbation history
- 60 (≤1, ≥2 moderate/severe), smoking status (screening), geographical region, post-bronchodilator %
- 61 predicted FEV₁ (screening), transformed eosinophils, and transformed eosinophils by treatment. The
- treatment effect at different eosinophils levels was estimated for each model. The best-fitting model
- 63 for each of the five blood eosinophil count metrics was selected using the Akaike information
- criterion (AIC), which estimates the amount of information lost by a model, such that the lowest AIC
- 65 value indicates the best-fitting model. The models with the lowest AIC value for each of the five
- 66 blood eosinophil count metrics are reported.
- Baseline characteristics of the IMPACT study population have been reported previously, and
- there were no clinically relevant differences between the three treatment groups. Blood eosinophil
- 69 count data were available at screening for 10,333 patients (FF/UMEC/VI, n=4143; FF/VI, n=4125;
- 70 UMEC/VI, n=2065).³ The mean and median eosinophil count was 210 cells/μL and 160 cells/μL at
- 71 screening and 220 cells/μL and 170 cells/μL at randomisation (Day 1) respectively, giving a median

(interquartile range) difference of 10 (-40, 60) cells/µL between the average measurements. The best-fitting negative binomial models for each blood eosinophil count metric showed comparable AIC values, with the blood eosinophil count metric measured at study randomisation the best-fitting model (Figure) and blood eosinophil count measured at screening the least well-fitting model. However, any blood eosinophil count measurement substantially improved the model compared with no measurement (p<0.001). All five metrics gave similar predictions for response to ICS treatment suggesting that any of the metrics are suitable in predicting ICS treatment response, and each metric made essentially identical predictions of the benefit of therapy, as can be seen for FF/UMEC/VI versus UMEC/VI predictions reported in the Figure.

To our knowledge, this is the first analysis to demonstrate that one blood eosinophil count is

sufficient for prediction of ICS treatment response. All five models gave similar predictions, confirming that any variation in blood eosinophil count over a 2-week period has no clinically relevant impact. These data should reassure clinicians that the timing of blood eosinophil count measurement is not critical for accurate prediction of ICS response in a population of patients with COPD, at least over a short time period. Of the five metrics, we found the best-fitting metric to be the one using actual data from Day 1 at randomisation (**Figure**); this metric was used in previous analyses of the effect of blood eosinophil count and smoking status on modification of ICS treatment response.³ Furthermore, this analysis showed that use of two blood eosinophil count values did not provide additional information to predict an ICS response in this population, compared with using only one value, although it should be acknowledged that this current analysis does not explore the value of one eosinophil count over multiple eosinophil counts. It is important to note that data on blood eosinophil count and ICS response used for modelling in this analysis were based on confirmed, stable state values, in view of the fact that acute illness (particularly sepsis), oral prednisolone therapy and other factors may suppress blood eosinophil count.^{9, 10}

Potential limitations of this analysis include the 2-week time difference between the randomisation model and screening measurements, which some may consider to be a short timeframe between blood eosinophil count assessments, and the low number of blood eosinophil counts assessed per patient. In clinical practice, there are often larger gaps between measurements and we cannot determine from this study whether multiple measurements over a longer period of time would be more reliable. The use of patients from a clinical trial also restricted the analysis to those with relative clinical stability who had been exacerbation-free for a defined period prior to eosinophil measurements. As such, the population may not be truly representative of a real-world COPD population. Furthermore, prior treatment was not included as a covariate in the modelling analysis.

105 Nonetheless, the analysis was conducted in a large population (>10,000 patients) allowing 106 assessment of the utility of eosinophil measurements at a population level; studies with smaller 107 sample sizes or fewer events are likely to be less precise than those with larger populations, such as 108 IMPACT.³ As such, these data provide valuable and robust information on the acceptability of one 109 blood eosinophil count measurement in the prediction of response to ICS treatment. 110 In conclusion, through modelling of data from patients with symptomatic COPD and a history of 111 exacerbations in the IMPACT trial, no improvement was demonstrated in prognostic value of a 112 repetition of blood eosinophil count over a short period of time (2 weeks) compared with a single 113 measurement. This analysis indicates that a single blood eosinophil count measurement, taken in 114 steady state, could potentially be used to predict a beneficial response to ICS, supporting the recommendations of the GOLD 2020 report.1 115 116 Availability of data and material 117 Anonymised individual participant data and study documents can be requested for further research from www.clinicalstudydatarequest.com. 118 119 120 Acknowledgements 121 This study was funded by GlaxoSmithKline (GSK; CTT116855; Clinicaltrials.gov identifier: 122 NCT02164513). Editorial support (in the form of writing assistance, assembling figures, collating 123 author comments, grammatical editing and referencing) was provided by Eloise Morecroft and Katie 124 Baker, at Fishawack Indicia Ltd, UK, and was funded by GSK. Dave Singh is supported by the National 125 Institute for Health Research (NIHR) Manchester Biomedical Research Centre (BRC). David Lomas is 126 is supported by the National Institute for Health Research (NIHR) University College London 127 Hospitals (UCLH) Biomedical Research Centre (BRC). He is an NIHR Senior Investigator. **Author contributions** 128 129 M. Bafadhel, N. Barnes, S.C. Bourke, C. Compton, B. Hartley, S. Lettis, D.A. Lipson, N. Martin and D. 130 Singh contributed to the conception/design of this analysis. G.J. Criner, M.T. Dransfield and D.M.G. Halpin were also involved in acquisition of data, and all authors were involved in analysis and 131 132 interpretation of the data and editing of the article and approved the final version of the manuscript 133 before submission. 134 **Disclosures** M. Bafadhel reports grants from AstraZeneca; advisory board attendance for AstraZeneca, Chiesi, 135 136 Boehringer Ingelheim and GSK (in the last 3 years); attendance at educational meetings facilitated by

137	AstraZeneca, Chiesi and Boehringer Ingelheim (in the last 3 years); and scientific advisor for ProAxsis
138	and AlbusHealth. N. Barnes, C. Compton, C.E Jones, S. Lettis, D.A. Lipson and N. Martin are
139	employees of GSK and hold stocks and shares in GSK. S.C. Bourke reports research grants from GSK,
140	Philips, ResMed and Pfizer Open Air, support to attend scientific meetings from Boehringer
141	Ingelheim, Chiesi, GSK and AstraZeneca and personal fees from Novartis, Chiesi and ResMed. G.J.
142	Criner reports personal fees from Almirall, Amgen, AstraZeneca, Boehringer Ingelheim, Broncus
143	Medical, Chiesi, CSA Medical, Eolo, Gala Therapeutics, GSK, Helios Medical, Medtronic, Merck,
144	Mereo BioPharma, NGM Pharmaceuticals, Novartis, Nuvaira, Olympus, Philips Respironics, Pulmonx,
145	Respivant Sciences, The Implementation Group and Verona. He also has ownership interest in HGE
146	Technologies. M.T. Dransfield reports personal fees from AstraZeneca, Boehringer Ingelheim,
147	PneumRx/BTG, Quark Pharmaceuticals and GSK, grant support from the American Lung Association,
148	Department of Defense, Department of Veterans Affairs and NIH, and contracted clinical trial
149	support from Boehringer Ingelheim, Novartis, AstraZeneca, Yungjin, PneumRx/BTG, Pulmonx, Boston
150	Scientific, Gala, Nuvaira and GSK. D.M.G. Halpin reports personal fees from AstraZeneca, Boehringer
151	Ingelheim, Chiesi, GSK, Novartis, Pfizer and Sanofi, and non-financial support from Boehringer
152	Ingelheim and Novartis. M.K. Han reports personal fees from AstraZeneca, GSK, Mylan, Merck and
153	Boehringer Ingelheim and research support from Novartis and Sunovion. B.Hartley is a contingent
154	worker with a Contract Research Organisation working on behalf of GSK and holds shares in GSK. P.
155	Lange reports personal fees from GSK, AstraZeneca and Boehringer Ingelheim, and grant support
156	from Boehringer Ingelheim and GSK. D.A. Lomas reports grant income, honoraria, and consultancy
157	fees from GSK, and personal fees from Grifols, and chaired the GSK Respiratory Therapy Area Board
158	2012–2015. F.J. Martinez reports personal fees and non-financial support from the American College
159	of Chest Physicians, AstraZeneca, Boehringer Ingelheim, Continuing Education, ConCert, Genentech,
160	GSK, Inova Fairfax Health System, Miller Communications, National Society for Continuing Education,
161	Novartis, Pearl Pharmaceuticals, PeerView Communications, Prime Communications, Puerto Rico
162	Respiratory Society, Chiesi, Roche, Sunovion, Theravance, Potomac, University of Alabama
163	Birmingham, Physicians Education Resource, Canadian Respiratory Network and Teva, non-financial
164	support from ProterrixBio, Gilead, Nitto and Zambon, and personal fees from Columbia University,
165	Integritas, MD Magazine, Methodist Hospital Brooklyn, New York University, Unity, UpToDate,
166	WedMD/MedScape, Western Connecticut Health Network, Academic CME, Patara, PlatformIQ,
167	American Thoracic Society, Rockpointe and France Foundation, grant support from NIH, Rare Disease
168	Health Communications and ProMedior, and is a member of steering committees for
169	Afferent/Merck, Biogen, Veracyte, Prometic, Bayer and Bridge Biotherapeutics. R. Wise reports
170	personal fees from AstraZeneca/MedImmune, Boehringer Ingelheim, ContraFect, Pulmonx, Roche,

171	Spiration, Sunovion, Merck, Circassia, Pneuma, Verona, Bonti, Denali, Aradigm, Mylan/Theravance
172	Propeller Health, AbbVie and GSK, and grant support from AstraZeneca/MedImmune, Boehringer
173	Ingelheim, Pearl Therapeutics, GSK and Sanofi-Aventis. D. Singh reports personal fees from GSK,
174	AstraZeneca, Boehringer Ingelheim, Chiesi, Cipla, Genentech, Glenmark, Menarini, Mundipharma,
175	Novartis, Peptinnovate, Pfizer, Pulmatrix, Theravance and Verona, and grant support from
176	AstraZeneca, Boehringer Ingelheim, Chiesi, Glenmark, Menarini, Mundipharma, Novartis, Pfizer,
177	Pulmatrix, Theravance and Verona.

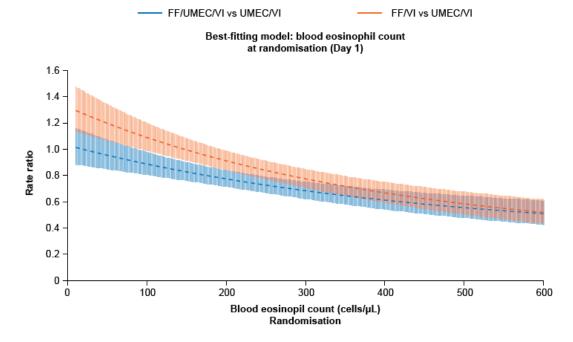
179 References

- 180 1. Global Initiative for Chronic Obstructive Lung Disease. Global strategy for the diagnosis,
- management, and prevention of chronic obstructive pulmonary disease. 2020. Available from:
- https://goldcopd.org/wp-content/uploads/2019/12/GOLD-2020-FINAL-ver1.2-03Dec19 WMV.pdf.
- 183 Accessed 5th August 2020.
- 184 2. Bafadhel M, Peterson S, De Blas MA, et al. Predictors of exacerbation risk and response to
- budesonide in patients with chronic obstructive pulmonary disease: a post-hoc analysis of three
- randomised trials. *Lancet Respir Med.* 2018; 6: 117-26.
- 187 3. Pascoe S, Barnes N, Brusselle G, et al. Blood eosinophils and treatment response with triple
- and dual combination therapy in chronic obstructive pulmonary disease: analysis of the IMPACT trial.
- 189 Lancet Respir Med. 2019; 7: 745-56.
- 190 4. Bafadhel M, Pavord ID and Russell REK. Eosinophils in COPD: just another biomarker? *Lancet*
- 191 Respir Med. 2017; 5: 747-59.
- 192 5. Long GH, Southworth T, Kolsum U, et al. The stability of blood Eosinophils in chronic
- obstructive pulmonary disease. Respir Res. 2020; 21: 15.
- 194 6. Southworth T, Beech G, Foden P, Kolsum U and Singh D. The reproducibility of COPD blood
- eosinophil counts. Eur Respir J. 2018; 52.
- 196 7. Lipson DA, Barnhart F, Brealey N, et al. Once-Daily Single-Inhaler Triple versus Dual Therapy
- in Patients with COPD. *N Engl J Med*. 2018; 378: 1671-80.
- 198 8. Pascoe SJ, Lipson DA, Locantore N, et al. A phase III randomised controlled trial of single-
- dose triple therapy in COPD: the IMPACT protocol. *Eur Respir J.* 2016; 48: 320-30.
- 200 9. Prazma CM, Bel EH, Price RG, Bradford ES, Albers FC and Yancey SW. Oral corticosteroid
- dose changes and impact on peripheral blood eosinophil counts in patients with severe eosinophilic
- asthma: a post hoc analysis. Respir Res. 2019; 20: 83.
- 203 10. Ramirez GA, Yacoub MR, Ripa M, et al. Eosinophils from Physiology to Disease: A
- 204 Comprehensive Review. Biomed Res Int. 2018; 2018: 9095275.

208 209

210

211



Rate Ratio FF/UMEC/VI vs UMEC/VI					
Screening Model (AIC: 25389.5)	0.88 (0.80, 0.97)	0.76 (0.70, 0.82)	0.68 (0.61, 0.75)	0.62 (0.55, 0.70)	0.58 (0.50, 0.66)
Randomisation Model* (AIC: 25365.8)	0.89 (0.81, 0.98)	0.78 (0.72, 0.84)	0.69 (0.63, 0.75)	0.61 (0.54, 0.69)	0.56 (0.48, 0.65)
Mean Model (AIC: 25375.1)	0.89 (0.81, 0.99)	0.76 (0.70, 0.82)	0.67 (0.61, 0.74)	0.62 (0.55, 0.69)	0.57 (0.50, 0.66)
Minimum Model (AIC: 25383.5)	0.85 (0.78, 0.93)	0.74 (0.68, 0.80)	0.65 (0.58, 0.72)	0.57 (0.49, 0.67)	0.51 (0.43, 0.62)
Maximum Model (AIC: 25376.2)	0.91 (0.82, 1.01)	0.79 (0.73, 0.86)	0.71 (0.65, 0.77)	0.65 (0.58, 0.72)	0.60 (0.53, 0.69)

Note: The table shows the exacerbation rate ratio for FF/UMEC/VI versus UMEC/VI for each of the five models that were applied.

- *Overall best-fitting model uses eosinophils measured at randomisation.
- 212 FF, fluticasone furoate; UMEC, umeclidinium; VI, vilanterol.